

CIPIVIE-CARITAS

Support to Indigenous and Local Communities for Sustainable Resources Management (P169610)

Draft

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

October 20, 2020

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Recipient, Consortium International Committee for the Promotion of Investment, Valorisation, Innovation and Employment (CIPIVIE) and CARITAS-DIOCES of OWANDO (referred to as CIPIVIE-CARITAS) *will implement* the Support to Indigenous and Local Communities for Sustainable Resources Management Project, herein referred to as the DGM Congo Project (the Project), with the involvement of the National Steering Committee (NSC) elected by the General Assembly of the DGM Congo Project. The *International Bank for Reconstruction and Development (the Bank)* is providing a grant for the Project.
2. CIPIVIE-CARITAS will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. CIPIVIE-CARITAS will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Framework (ESMF), Environmental and Social Management Plan (ESMP), Resettlement Action Plans (RAP), Indigenous Peoples Plans (IPPs), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. CIPIVIE-CARITAS is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the NSC referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by CIPIVIE-CARITAS as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and CIPIVIE-CARITAS, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, CIPIVIE-CARITAS will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and CIPIVIE-CARITAS. The CIPIVIE-CARITAS will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the CIPIVIE-CARITAS shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include nature and forest degradation, land related conflicts, child labor, increase in alcoholism, COVID-19 spread, gender-based violence, discrimination or exclusion against some groups, etc.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s) sensitive to Gender Based Violence, Sexual Exploitation and Abuse, and Sexual Harassment (GBV/SEA/HS).</p>	<p><i>Quarterly throughout the project in coordination with the project monitoring report.</i></p>	<p>CIPIVIE-CARITAS</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to or affecting the DGM Congo Project activities that has, or is likely to have, a significant adverse effect on the environment, affected communities, and the public or workers, including ESHS risks.. For information: any fatal accidents related to the Project or any allegations of gender-based violence related to the Project, including without limitation any allegations of gender-based violence and/or sexual exploitation, abuse and harassment (GBV/SEAH).</p> <p>Provide sufficient details regarding the incident or accident, indicating the immediate measures taken or that are planned to be taken to address it, and include information provided by any supplier/service provider or supervisory entity, as appropriate.</p>	<p><i>Notify the Bank immediately, and no later than 48 hours after becoming aware of the incident or accident (serious), and no later than 24 hours any severe incident/accident, including GBV/SEAH incidents</i></p>	<p>CIPIVIE-CARITAS</p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>contractors and sub-contractors are hired for the project activities or sub-activities, are required to provide monthly monitoring reports to the CIPIVIE-CARITAS regarding ESHS performance of the contracted work. Such monthly monitoring reports will be submitted to the Bank by the Borrower upon request.</p>	<p><i>Monthly reporting for contracted work throughout contract/sub-contract period</i></p>	<p>Contractor(s)/Sub-contractor(s)</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks. CIPIVIE-CARITAS will recruit and maintain one Environmental and Social Development Specialist throughout the life of the project.</p>	<p><i>CIPIVIE CARITAS already has the E&S Specialist in place. The organizational structure, including the specialists, will be maintained throughout Project implementation.</i></p>	CIPIVIE-CARITAS
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>Implement, in a manner acceptable to the Bank, the following instruments that have been prepared, cleared and disclosed:</p> <ul style="list-style-type: none"> • Environmental and Social Management Framework (ESMF) • Indigenous Peoples Policy Framework (IPPF) • Stakeholder Engagement Plan (SEP) 	<p><i>CIPIVIE-CARITAS will prepare, clear and disclose draft ESMF, IPPF, and SEP prior to appraisal.</i></p> <p><i>These documents will be updated latest 6 months after project effectiveness, and cleared and disclosed prior to the implementation of any activities.</i></p>	CIPIVIE-CARITAS
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Screen any proposed subproject in accordance with the ESMF, and IPPF prepared for the Project, and thereafter, draft, adopt, and implement the subproject as required, in a manner acceptable to the Bank, the following instruments:</p> <ul style="list-style-type: none"> • Environmental and Social Management Plans (ESMPs), • Indigenous Peoples Plan (IPP) for any specific activities that potentially exclude IPs from benefits of activities 	<p><i>CIPIVIE-CARITAS will prepare, clear and disclose ESMPs and/or IPPs for specific sites requiring the preparation of these instruments before the implementation of any activities requiring these instruments in a manner acceptable to the Bank. Once approved, the ESMPs and IPPs, are carried out throughout Project implementation.</i></p>	CIPIVIE-CARITAS
ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>As part of the updated ESMF, develop, adopt, and implement a Labor Management Procedures (LMP) for the Project in accordance with national legislation and ESS 2. The LMP will include clauses and sanctions against Sexual Exploitation and Abuse/Sexual Harassment (EA/SH), child labor, guidelines on community workers, project staff, etc.</p>	<p><i>CIPIVIE-CARITAS will prepare, clear, and disclose the LMP latest 6 months after effectiveness and prior to the implementation of any activities. The LMP will be applied throughout the project implementation.</i></p>	CIPIVIE-CARITAS
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Develop, maintain, and operate a grievance mechanism for Project workers that will be described in the Labor Management Plan (LMP) and consistent with ESS2. The LMP will be easily accessible to Project staff and will comply with ESS 2 and the social legislation (Labor Laws) of the Republic of Congo.</p>	<p><i>The grievance mechanism will be operational when the LMP is prepared, cleared and disclosed latest 6 months after effectiveness, and will be maintained throughout project implementation.</i></p>	CIPIVIE-CARITAS
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Prepare, adopt, and implement the occupational, health and safety (OHS) measures specified in the ESMF and subsequent ESMPs, taking into account COVID-19 measures.</p>	<p><i>Prior the start of project activities. These measures are implemented once the project is effective and maintained throughout the implementation of the Project.</i></p>	CIPIVIE-CARITAS
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: With regards to the potential for increased use of pesticides in agricultural activities under Component 1 of the Project, a Pest Management Guidance has been prepared as a chapter to the draft ESMF.</p>	<p><i>Same timeframe for the preparation and implementation of the ESMF in section 1.2.</i></p>	CIPIVIE-CARITAS
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY:</p> <p>Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities in forest and savannah areas, where community livelihoods and natural resources may be affected, including risk of negative behaviors by Project staff. These measures will be included in the ESMPs in accordance with the ESMF, including COVID-19 measures, in a manner acceptable to the Bank.</p>	<p><i>During the site-specific project identification phase and prior to implementing any activities on the ground, prepare, clear and disclose the ESMPs.</i></p>	CIPIVIE-CARITAS

4.2	GBV AND SEA RISKS: Prepare, adopt, and implement a stand-alone Gender-Based Violence Action Plan (GBV Action Plan) to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse / sexual harassment (SEA/SH).	<i>The GBV action plan will be prepared latest six months after Project effectiveness.</i>	CIPIVIE-CARITAS
4.3	GRIEVANCE MECHANISM Ensure that the GBV/SEA/SH sensitive GRM in the site specific instruments is relevant and cover the (remote) areas where project will be implemented.	<i>The GRM will be updated simultaneously with the other safeguards instruments and will be put in place prior to the start of project activities and will be in place throughout the project life cycle.</i>	CIPIVIE CARITAS
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
ESS 5 is not relevant in this project.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS: The ESMF provides guidance on screening and mitigation measures to ensure that project activities, especially those related to agriculture and do not alter or cause destruction of critical and/or natural habitats.	<i>During the site specific project identification phase and prior to implementing any activities on the ground, prepare, clear and disclose the ESMPs taking into account biodiversity conservation measures.</i>	CIPIVIE CARITAS
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	INDIGENOUS PEOPLES PLAN: Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) consistent with the requirements of the Indigenous Peoples Planning Framework (IPPF) that has been prepared for the Project and ESS7, in a manner acceptable to the Bank.	<i>During the site specific project identification phase and prior to implementing any activities on the ground, prepare, clear and disclose the IPP.</i>	CIPIVIE-CARITAS
7.2	GRIEVANCE MECHANISM Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP. The GRM must be sensitive to IP cultural considerations and circumstances.	<i>During the site specific project identification phase and prior to implementing any activities on the ground, ensure the GRM is effective and functional.</i>	CIPIVIE-CARITAS
ESS 8: CULTURAL HERITAGE			

8.1	CHANCE FINDS: Prepare, adopt, and implement the chance finds procedure. The a chance finds procedures will be incorporated into subsequent ESMPs as per the E&S screening forms.	<i>During the site specific project identification phase and prior to implementing any activities on the ground, prepare, clear and disclose the ESMPs.</i>	CIPIVIE-CARITAS
ESS 9: FINANCIAL INTERMEDIARIES			
ESS 9 is not relevant in this project.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Update, adopt, and implement Stakeholder Engagement Plan (SEP).	<i>The initial SEP prepared prior to project approval will be updated, cleared and disclosed, and will be implemented throughout the life of the project. The SEP will be revised latest 6 months after effectiveness.</i>	CIPIVIE-CARITAS
10.2	PROJECT GRIEVANCE MECHANISM: Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP. The GRM must be sensitive to GBV/SEA/SH and IP.	<i>Prepare at the same time as the SEP in section 10.1.</i>	CIPIVIE-CARITAS
CAPACITY SUPPORT (TRAINING)			

CS1	<p>Provide general training on the following topics for staff, NSC, and other actors involved in Project activities:</p> <ul style="list-style-type: none"> - management of requests for information - procedures and treatment of complaints/grievances related to the Project (training specific for GRM committees); - stakeholder engagement procedures; - concept of free prior and informed consent/consultation (FPIC) - employee and contractors safety measures (including PPE related to COVID-19) - community health and safety, including SEA/SH <p>Environmental and Social Screening and monitoring:</p> <ul style="list-style-type: none"> - Selection process and environmental and social classification of sub-projects in accordance with procedures in the ESMF; - Determining the appropriate instruments to be prepared by the project following sub-project E&S screening; - Implementation of IPP, ESMP, etc and M&E. 	<p>During the preparation of E&S risk management instruments, and prior to the start of site specific activities and throughout the period of project implementation.</p>	<p>CIPIVIE-CARITAS and consultants, with the support of the World Bank</p>
CS2	<p>Provide training for project implementing staff and NSC member activities related to:</p> <ul style="list-style-type: none"> - Communication strategy on recommendations for the prevention and fight against COVID-19 infections; - Recommendations for the prevention and control of COVID-19 infections; - Communication on community engagement; - Other relevant environmental and social issues, including SEA/SH risk management. 	<p>During the preparation of E&S risk management instruments, and prior to the start of site specific activities and throughout the period of project implementation.</p>	<p>CIPIVIE-CARITAS and consultants, with the support of the World Bank</p>